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Applicants : Hung-Teh Kao et al.  
U.S. Serial No. : 09/929,313 Group Art Unit: 1646  
Filed : August 14, 2001  
For : DNA ENCODING A HUMAN SEROTONIN (5-HT<sub>2</sub>)  
RECEPTOR AND USES THEREOF

1185 Avenue of the Americas  
New York, New York 10036  
May 31, 2002

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

**Supplemental Information Disclosure Statement**  
**Under 37 C.F.R. § 1.97(b)(3)**

This Supplemental Information Disclosure Statement is submitted to supplement the Information Disclosure Statement filed on August 14, 2001 in connection with the above-identified application.

In accordance with their duty of disclosure under 37 C.F.R. § 1.56, applicants would like to direct the Examiner's attention to the following reference which is listed on the attached Form PTO-1449 (**Exhibit A**) and a copy of which is attached hereto as **Exhibit B**:

United States Patent No. 6,383,762, issued May 7, 2002,  
Hung-Teh Kao, et al.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone the number provided below.

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Applicants are filing this Information Disclosure Statement under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office Action on the merits. Accordingly, no fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if a fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

*Christopher C. Dunham*

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents,  
Washington, D.C. 20231.

*Christopher C. Dunham* 5/31/02  
Christopher C. Dunham Date  
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**Group Art Unit**  
**1646**

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Hung-Teh Kao  
U.S. No. 09/929,313  
Filed: August 14, 2001  
Exhibit: A